



Unleash the Power of Label Space: Label Enhancement

Xin Geng

PATtern Learning and Mining (PALM) Lab
<http://palm.seu.edu.cn>

School of Computer Science and Engineering
Southeast University



Outline

- **Introduction**
- **A Theoretical View**
- **Label Enhancement Methods**
 - **Fuzzy Label**
 - **Probabilistic Label**
 - **Label Distribution**
- **Applications**
- **Conclusion**



0/1 Labels

- Most **existing data sets**: a bipartition of the label set into relevant and irrelevant labels
 - 1: relevant label
 - 0: irrelevant label

0/1 Labels



咖啡 图书 钢笔 大象
1 1 0 0

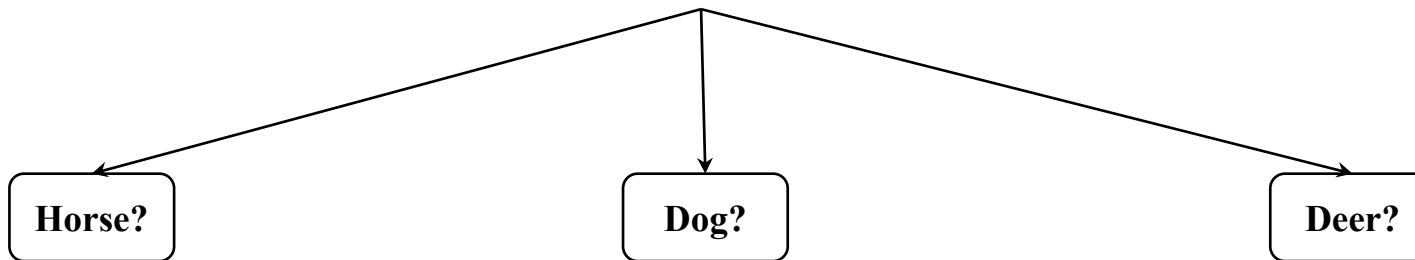
Fuzziness



maturity
rare
medium rare
medium
medium well
well done

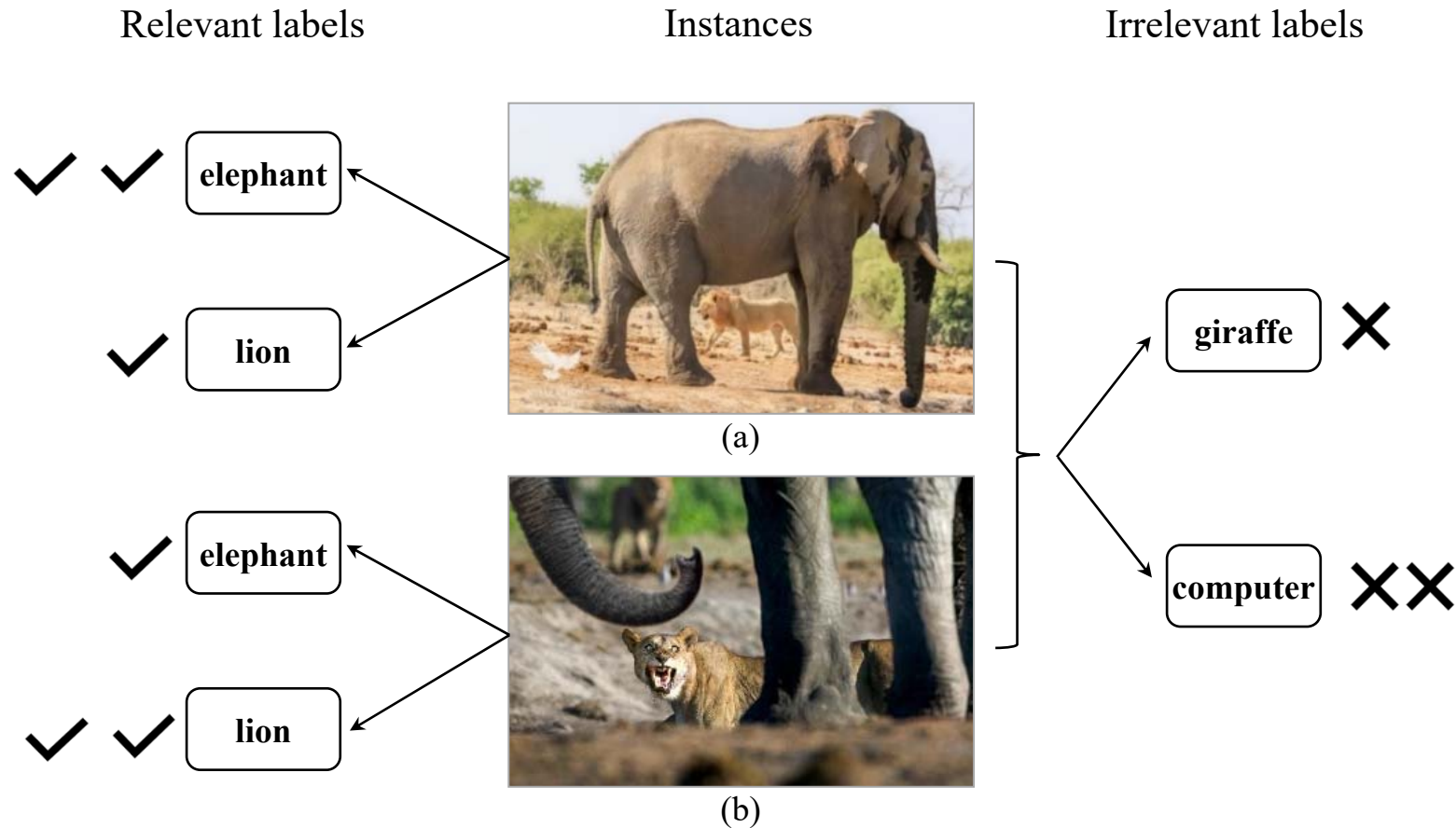
The definition of the class labels is **fuzzy**

Probability



The label relevance is **probabilistic**

Ambiguity



Class labels of the instance are **ambiguous**

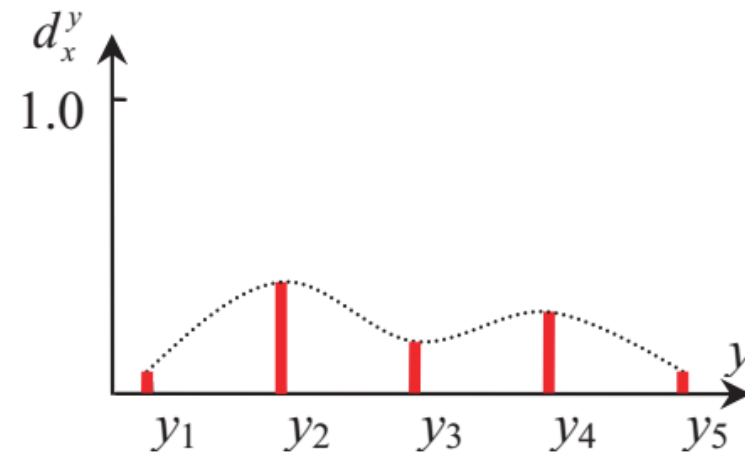
0/1 Label \rightarrow Fine Label

A real number d_x^y is assigned to the label y for the instance x

WLOG $\rightarrow d_x^y \in [0, 1]$

Complete label set $\rightarrow \sum_y d_x^y = 1$

} Fine label



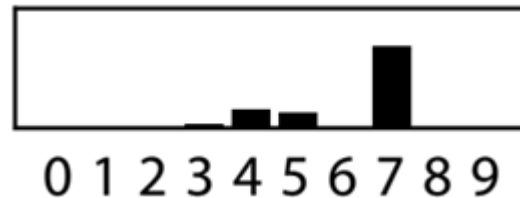
Fuzziness – Membership Degree



maturity	membership degree
rare	0.05
medium rare	0.2
medium	0.25
medium well	0.35
well done	0.15

The definition of the class labels is **fuzzy**

Probability – Probabilistic Labels



0 — airplane

2 — bird

4 — deer

6 — frog

8 — ship

1 — automobile

3 — cat

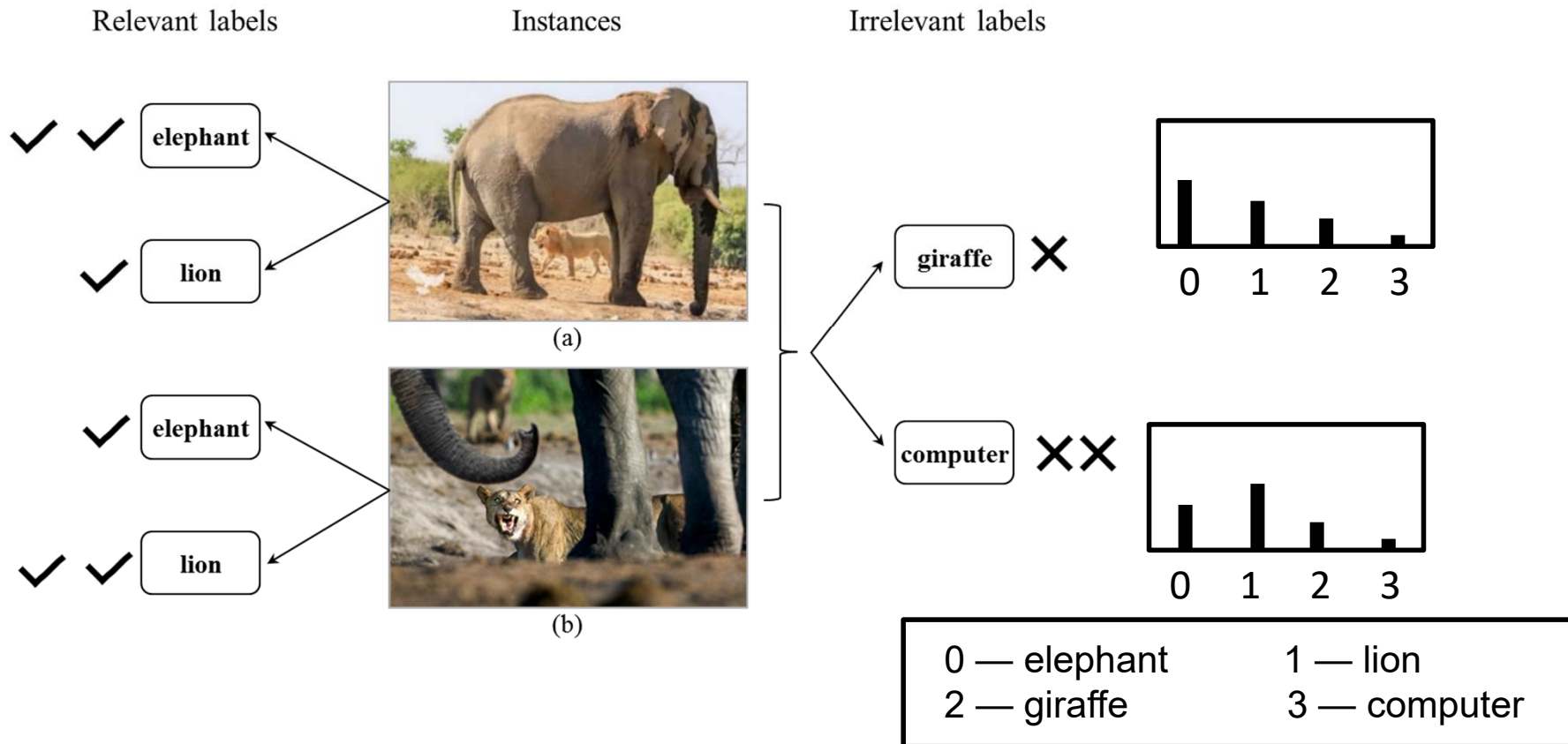
5 — dog

7 — horse

9 — truck

The label relevance is **probabilistic**

Ambiguity – Label Distribution



Class labels of the instance are **ambiguous**

Practical Restrictions

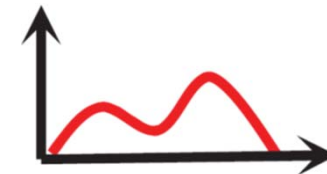
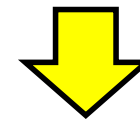
- Directly obtaining fine labels is difficult:
 - **High cost**
 - **Difficult to quantify**
- 0/1 Labels **simplify the real world**: a bipartition of the label set into relevant and irrelevant labels
 - 1: relevant label
 - 0: irrelevant label

We need a way to **recover** the fine labels from the 0/1 labels in the training set



Label Enhancement (LE)

$\{0, 1, 0, 1, 0\}$





Problem Formulation

The **0/1 label** vector of x_i is denoted by $\mathbf{l}_i = (l_{x_i}^{y_1}, l_{x_i}^{y_2}, \dots, l_{x_i}^{y_c})^T$, where $l_{x_i}^{y_j} \in \{0,1\}$ represents whether y_j describes x_i , c is the number of labels. Then, $\mathbf{l}_i \in \{0,1\}^c$.

The **fine label** vector of x_i is denoted by $\mathbf{d}_i = (d_{x_i}^{y_1}, d_{x_i}^{y_2}, \dots, d_{x_i}^{y_c})^T$, where $d_{x_i}^{y_j} \in [0,1]$ represents the fine label of y_j to x_i . Then, $\mathbf{d}_i \in [0,1]^c$.

Label Enhancement can be defined as follows.

Given a training set $S = \{(x_i, \mathbf{l}_i) | 1 \leq i \leq n\}$, label enhancement is to recover the fine label vector \mathbf{d}_i of x_i from the 0/1 label vector \mathbf{l}_i , and thus transform S into a fine label training set $E = \{(x_i, \mathbf{d}_i) | 1 \leq i \leq n\}$.

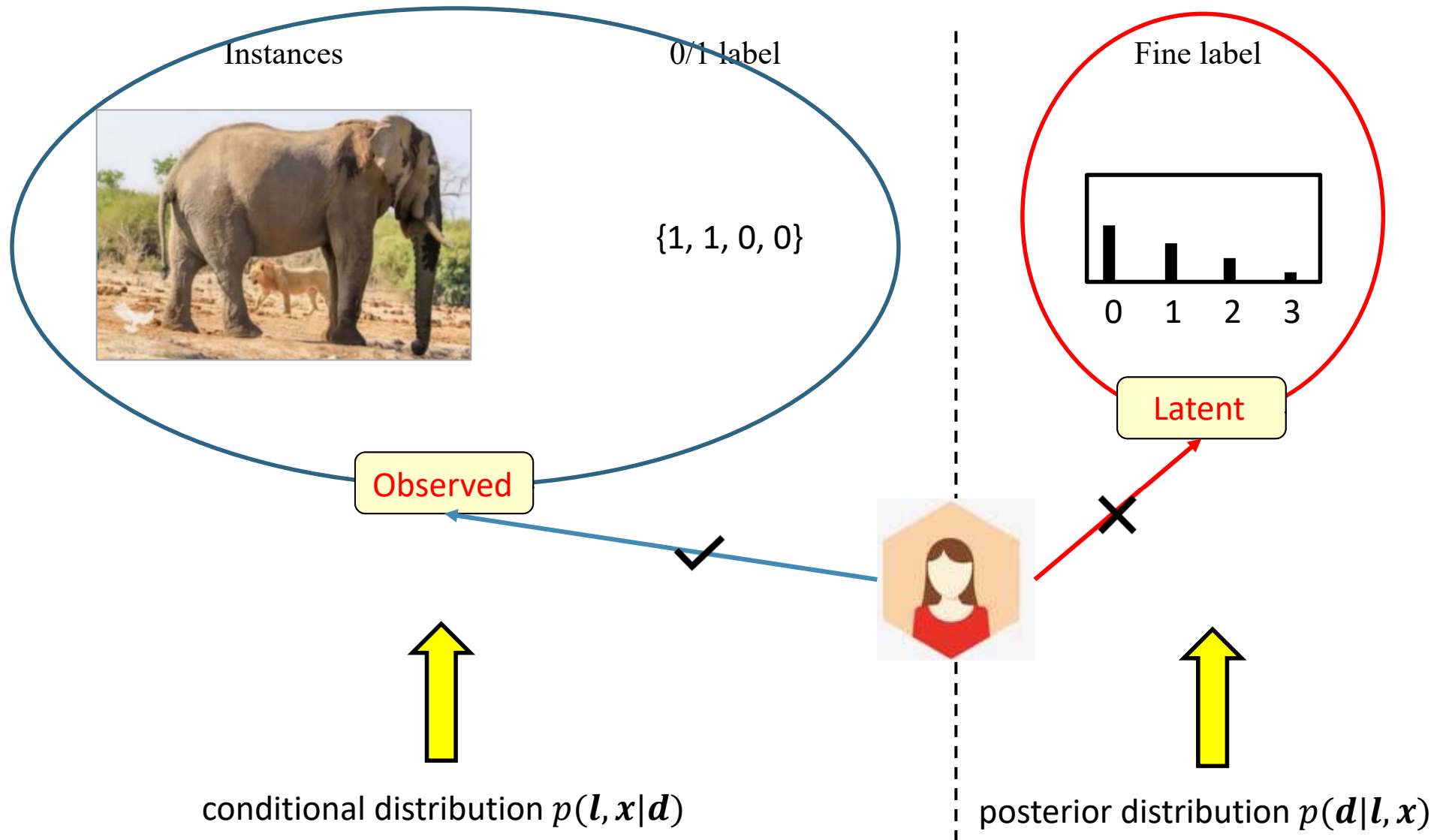
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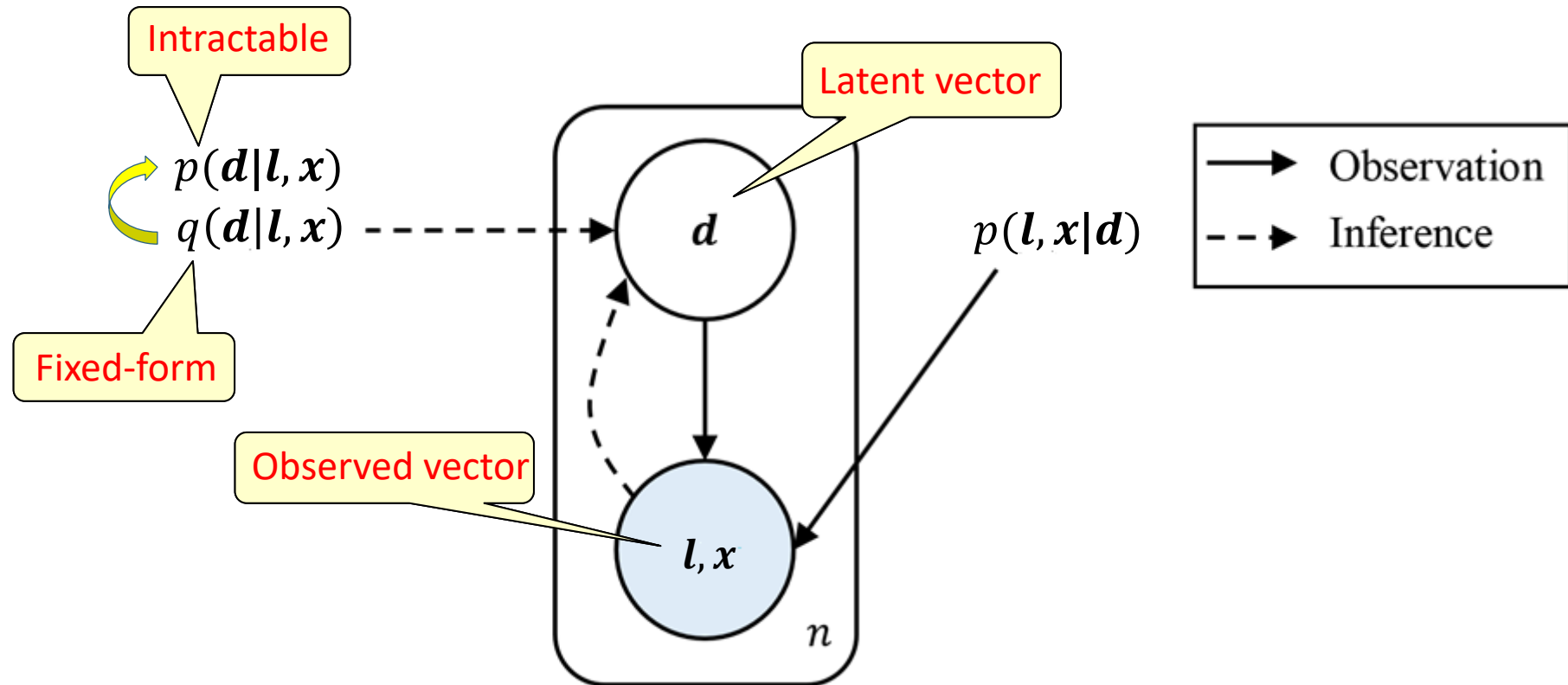
A Theoretical View

[Xu and Geng, ICML'20]



A Theoretical View

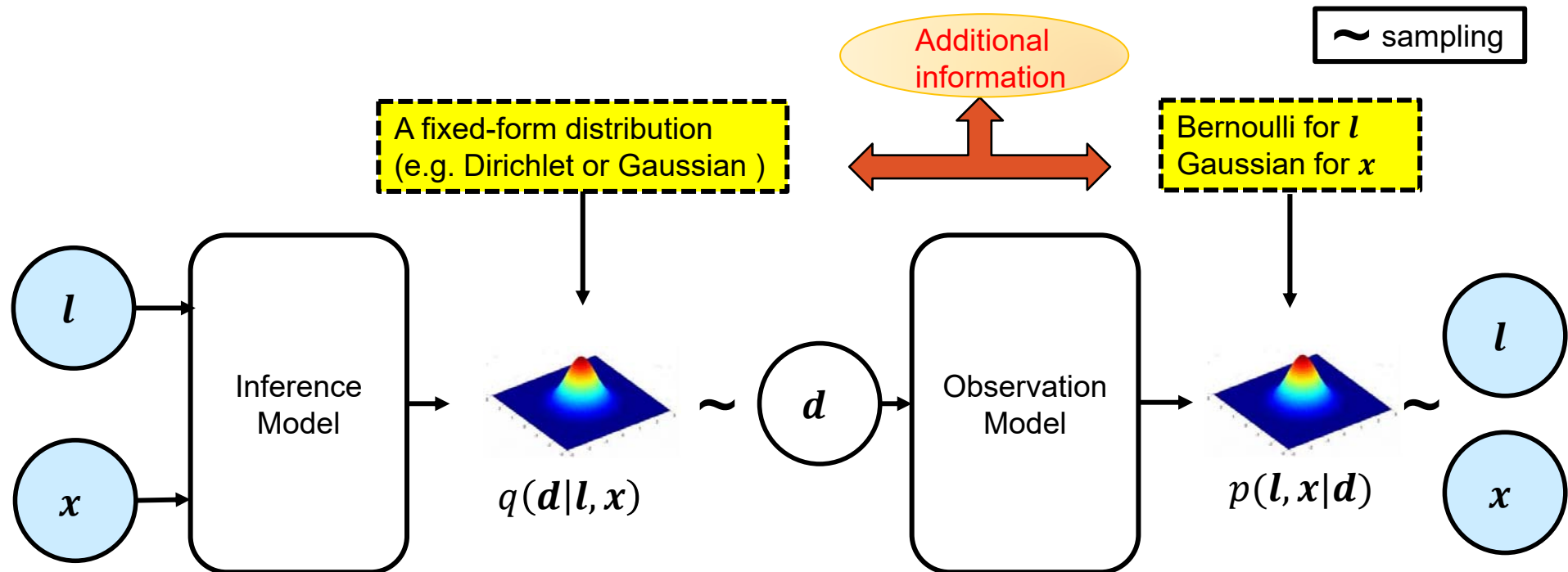
[Xu and Geng, ICML'20]



- l, x are generated from some **conditional** distribution $p(l, x | d)$.
- d is generated from the **posterior** distribution $p(d | l, x)$.
- A fixed-form distribution $q(d | l, x)$ is utilized to **approximate** $p(d | l, x)$.

A Theoretical View

[Xu and Geng, ICML'20]



d should maximize the lower bound of the joint probability density $p(l, x)$:

$$\log p(l, x) \geq \mathbb{E}_{q(d|l, x)} [\log p(l|d) + \log p(x|d)] - \text{KL}[q(d|l, x) || p(d)]$$

A Theoretical View

[Xu and Geng, ICML'20]

We formulate the label enhancement problem into an optimization framework and yields the target function for minimization:

$$\begin{aligned}
 T(\boldsymbol{\vartheta}, \boldsymbol{\eta}, \boldsymbol{w}) = & \frac{1}{L} \sum_{m=1}^L \frac{1}{2} \|\boldsymbol{x} - \boldsymbol{\rho}^{(m)}\|_2^2 - \sum_{i=1}^c l_i \log \tau_i^{(m)} + (1 - l_i) \cdot \log (1 - \tau_i^{(m)}) \\
 & + \frac{1}{2} \{\text{tr}(\boldsymbol{\Sigma}) + \boldsymbol{\mu}^\top \boldsymbol{\mu} - k - \log |\boldsymbol{\Sigma}|\},
 \end{aligned}$$

where $\boldsymbol{\Sigma} = \text{MLP}_{\boldsymbol{\Sigma}}(\boldsymbol{l}, \boldsymbol{x}; \boldsymbol{w})$, $\boldsymbol{\mu} = \text{MLP}_{\boldsymbol{\mu}}(\boldsymbol{l}, \boldsymbol{x}; \boldsymbol{w})$, $\tau^{(m)} = \text{MLP}_{\tau}(\boldsymbol{d}^{(m)}; \boldsymbol{\vartheta})$,
 $\boldsymbol{\rho}^{(m)} = \text{MLP}_{\boldsymbol{\rho}}(\boldsymbol{d}^{(m)}; \boldsymbol{\eta})$, $\boldsymbol{d}^{(m)} = \boldsymbol{\mu} + \boldsymbol{\Sigma} \boldsymbol{\epsilon}^{(m)}$, $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$.

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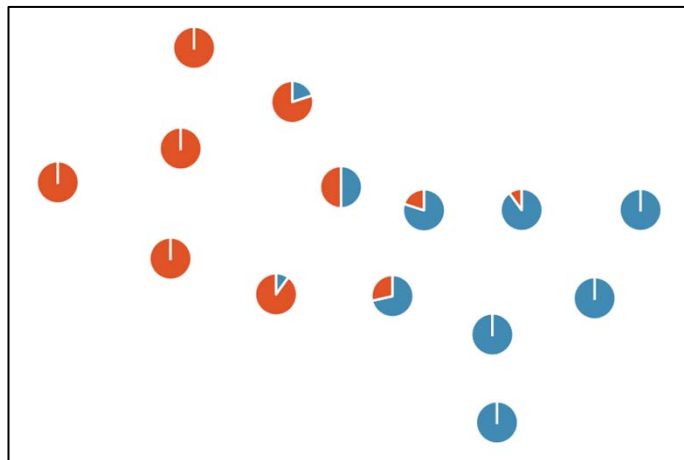


Label Enhancement Methods

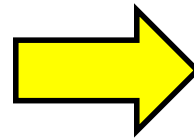
- **Label Enhancement for Fuzzy Label**
 - LE based on fuzzy clustering
[Gayar et al., ANNPR'06]
 - LE based on kernel method
[Jiang et al., NCA'06]
- **Label Enhancement for Probabilistic Label**
 - LE based on probabilistic end-to-end noisy correction
[Kun Yi et al., CVPR'19]
 - LE based on label smoothing
[Szegedy et al., CVPR'16]
 - LE based on distillation
[Hinton et al., arxiv'15]
- **Label Enhancement for Label Distribution**
 - LE based on manifold learning
[Hou et al., AAAI'16]
 - Graph laplacian label enhancement
[Xu and Geng, IJCAI'18]
 - LE based on reinforcement learning
[Gao and Geng, IJCAI'20]

LE based on fuzzy clustering

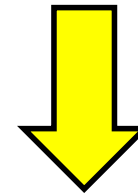
[Gayar et al., ANNPR'06]



Fuzzy C-means clustering
(The membership of the
instance to the cluster)



The memberships of the
instances belonging to the same
class are added up to form the
cluster-class connection matrix.



By fuzzy composition operation,
the memberships of instances to
clusters are transformed into the
memberships of instances to class
labels using the connection matrix.

LE based on fuzzy clustering

[Gayar et al., ANNPR'06]

- **Step 1:** Fuzzy C-Means clustering (FCM)

1. Given the cluster number p , initialize the $n \times p$ cluster membership matrix \mathbf{M} (m_{ik} denotes the membership of \mathbf{x}_i to the k -th cluster)

2. Calculate the cluster prototype

$$\boldsymbol{\mu}_k = \frac{\sum_{i=1}^n (m_{ik})^\beta \mathbf{x}_i}{\sum_{i=1}^n (m_{ik})^\beta}$$

3. Update the cluster membership matrix \mathbf{M}

$$m_{ik} = \frac{1}{\sum_{j=1}^p \left(\frac{\text{Dist}(\mathbf{x}_i, \boldsymbol{\mu}_k)}{\text{Dist}(\mathbf{x}_i, \boldsymbol{\mu}_j)} \right)^{\frac{2}{\beta-1}}}$$

4. Repeat 2 and 3 until convergence

Each row of \mathbf{M} , \mathbf{m}_i , represents the membership of the instance \mathbf{x}_i to each cluster

LE based on fuzzy clustering

[Gayar et al., ANNPR'06]

- **Step 2:** Calculate the cluster-class connection matrix

1. Initialize $c \times p$ zero matrix A
2. Update each row A_j with

$$A_j = A_j + \mathbf{m}_i, \quad \text{if } l_{x_i}^{y_j} = 1$$

3. Normalized each column of A
4. Normalized each row of A

a_{jk} denotes the connection
between class j and cluster k

- **Step 3:** Calculate the fine labels of x_i

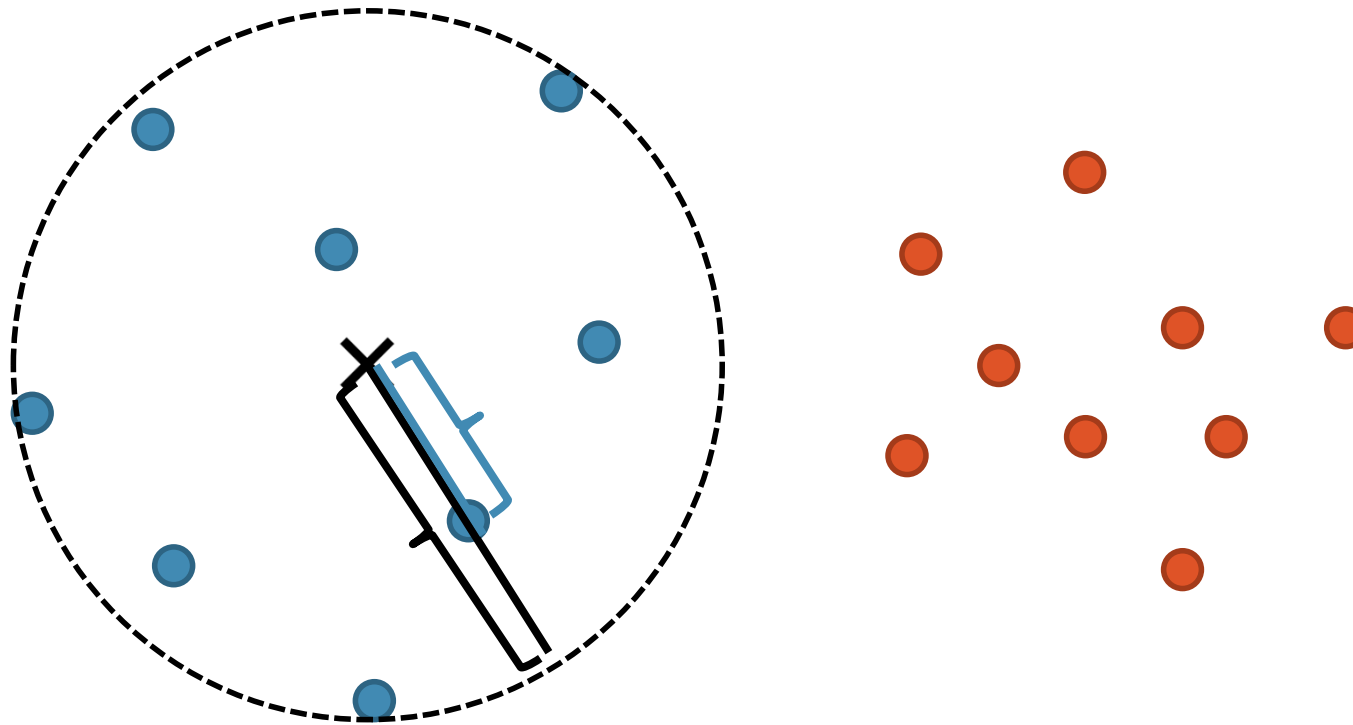
1. $D_i = A \circ \mathbf{m}_i$ (fuzzy composition)

$$D_i^j = \max_k (a_{jk} \times m_{ik})$$

2. Normalize D_i

LE based on kernel method

[Jiang et al., NCA'06]



Introduce nonlinearity via kernel method

LE based on kernel method

[Jiang et al., NCA'06]

- **Step 1:** For each label y_j , suppose C^{y_j} contains all the instances labeled by y_j , the size of C^{y_j} is n_j , then, the center of C^{y_j} is

$$\Psi^{y_j} = \frac{1}{n_j} \sum_{\mathbf{x}_i \in C^{y_j}} \phi(\mathbf{x}_i)$$

$(\Psi^{y_j})^2$ can be calculated via inner product of $\phi(\mathbf{x}_i)$

where $\phi(\mathbf{x}_i)$ is a nonlinear function determined by the kernel function

$$K(\mathbf{x}_i, \mathbf{x}_j) = \phi(\mathbf{x}_i) \cdot \phi(\mathbf{x}_j)$$

- **Step 2:** Calculate the class radius

$$r_j = \max_{\mathbf{x}_i \in C^{y_j}} \|\Psi^{y_j} - \phi(\mathbf{x}_i)\|,$$

r_j^2 can be calculated via inner product of $\phi(\mathbf{x}_i)$

- **Step 3:** Calculate the distance between instance \mathbf{x}_i and class center

$$d_{ij}^2 = \|\phi(\mathbf{x}_i) - \Psi^{y_j}\|^2$$

d_{ij}^2 can be calculated via inner product of $\phi(\mathbf{x}_i)$

LE based on kernel method

[Jiang et al., NCA'06]

- **Step 4:** calculate the membership of instance x_i to label y_j

$$m_{x_i}^{y_j} = \begin{cases} 1 - \sqrt{\frac{\|d_{ij}^2\|}{(r_j^2 + \delta)}} & \text{if } l_{x_i}^{y_j} = 1 \\ 0 & \text{if } l_{x_i}^{y_j} = 0 \end{cases}$$

Cannot change the membership of irrelevant labels

- **Step 5:** Normalize $\mathbf{m}_{x_i} = [m_{x_i}^{y_1}, m_{x_i}^{y_2}, \dots, m_{x_i}^{y_c}]$

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LE based on probabilistic end-to-end noisy correction

[Kun Yi et al., CVPR'19]

Step 1: Probabilistic label is initialized by the noisy label \hat{y}

$$\tilde{y} = K\hat{y} \rightarrow K \text{ is a large constant}$$

Step 2: Normalize the probabilistic label to a probability distribution

$$y^d = \text{softmax}(\tilde{y})$$

Step 3: Update both the network and the probabilistic label y^d



LE based on probabilistic end-to-end noisy correction

[Kun Yi et al., CVPR'19]

Loss 1: Compatibility loss \mathcal{L}_o , using the useful information in noisy label \hat{Y}

$$\mathcal{L}_o(\hat{Y}, Y^d) = -\frac{1}{n} \sum_{i=1}^n \sum_{j=1}^c \hat{y}_{ij} \log y_{ij}^d$$

Loss 2: Classification loss \mathcal{L}_c , update both network and probabilistic label

$$\mathcal{L}_c(f(\mathbf{x}; \boldsymbol{\theta}), Y^d) = \frac{1}{n} \sum_{i=1}^n \text{KL}(f(\mathbf{x}_i; \boldsymbol{\theta}) || \mathbf{y}_i^d)$$

Loss 3: Entropy loss \mathcal{L}_e , a regularization term to force the network to peak at only one category rather than being flat

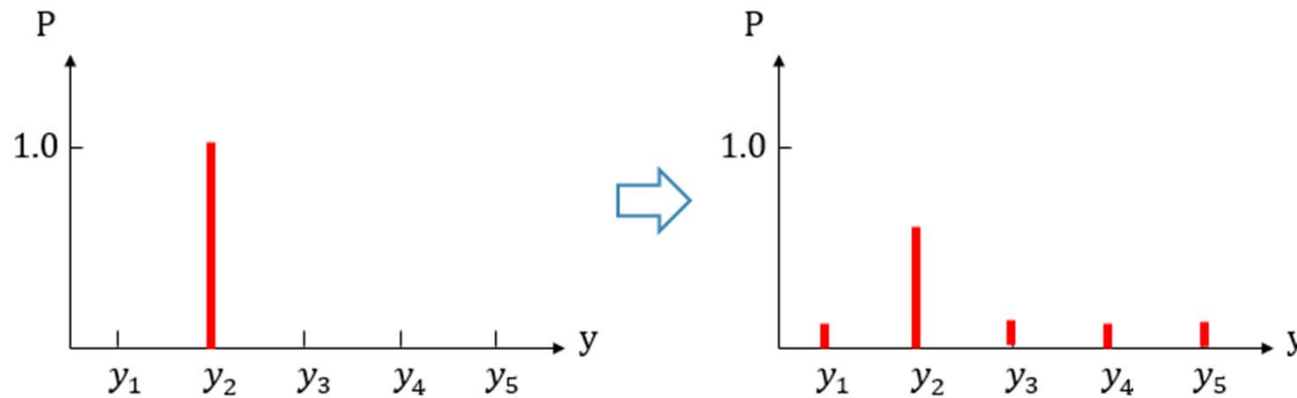
$$\mathcal{L}_e(f(\mathbf{x}; \boldsymbol{\theta})) = -\frac{1}{n} \sum_{i=1}^n \sum_{j=1}^c f_j(\mathbf{x}; \boldsymbol{\theta}) \log f_j(\mathbf{x}; \boldsymbol{\theta})$$

The over all framework

$$\mathcal{L} = \frac{1}{n} \mathcal{L}_c(f(\mathbf{x}; \boldsymbol{\theta}), Y^d) + \alpha \mathcal{L}_o(\hat{Y}, Y^d) + \frac{\beta}{c} \mathcal{L}_e(f(\mathbf{x}; \boldsymbol{\theta}))$$

LE based on label smoothing

[Szegedy et al., CVPR'16]



- **Step 1:** After adding label smoothing, the true probability distribution changes as follows

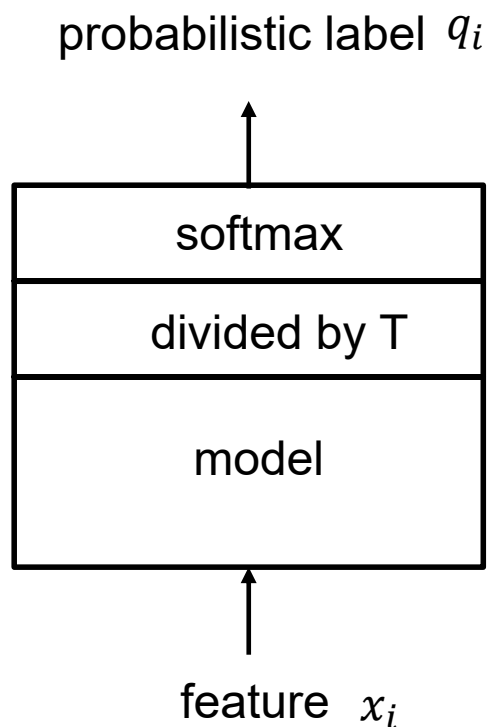
$$P_i = \begin{cases} 1, & \text{if } (i = y) \\ 0, & \text{if } (i \neq y) \end{cases} \Rightarrow P_i = \begin{cases} 1 - \varepsilon, & (i = y) \\ \frac{\varepsilon}{K - 1}, & \text{if } (i \neq y) \end{cases} \quad K = \# \text{ labels}$$

- **Step 2:** After adding label smoothing, the loss function changes as follows

$$Loss = - \sum_{i=1}^K p_i \log q_i \Rightarrow Loss_i = \begin{cases} (1 - \varepsilon) * Loss, & \text{if } (i = y) \\ \varepsilon * Loss, & \text{if } (i \neq y) \end{cases}$$

LE based on knowledge distillation

[Hinton et al., arxiv'15]



Neural networks typically produce class probabilities by using a “softmax” output layer that converts the logit, z_i , computed for each class into a probability, q_i , by comparing z_i with the other logits.

$$q_i = \frac{\exp\left(\frac{z_i}{T}\right)}{\sum_j \exp\left(\frac{z_j}{T}\right)}$$

T is a temperature that is normally set to 1. Using a higher value for T produces a softer probability distribution over classes.

Outline

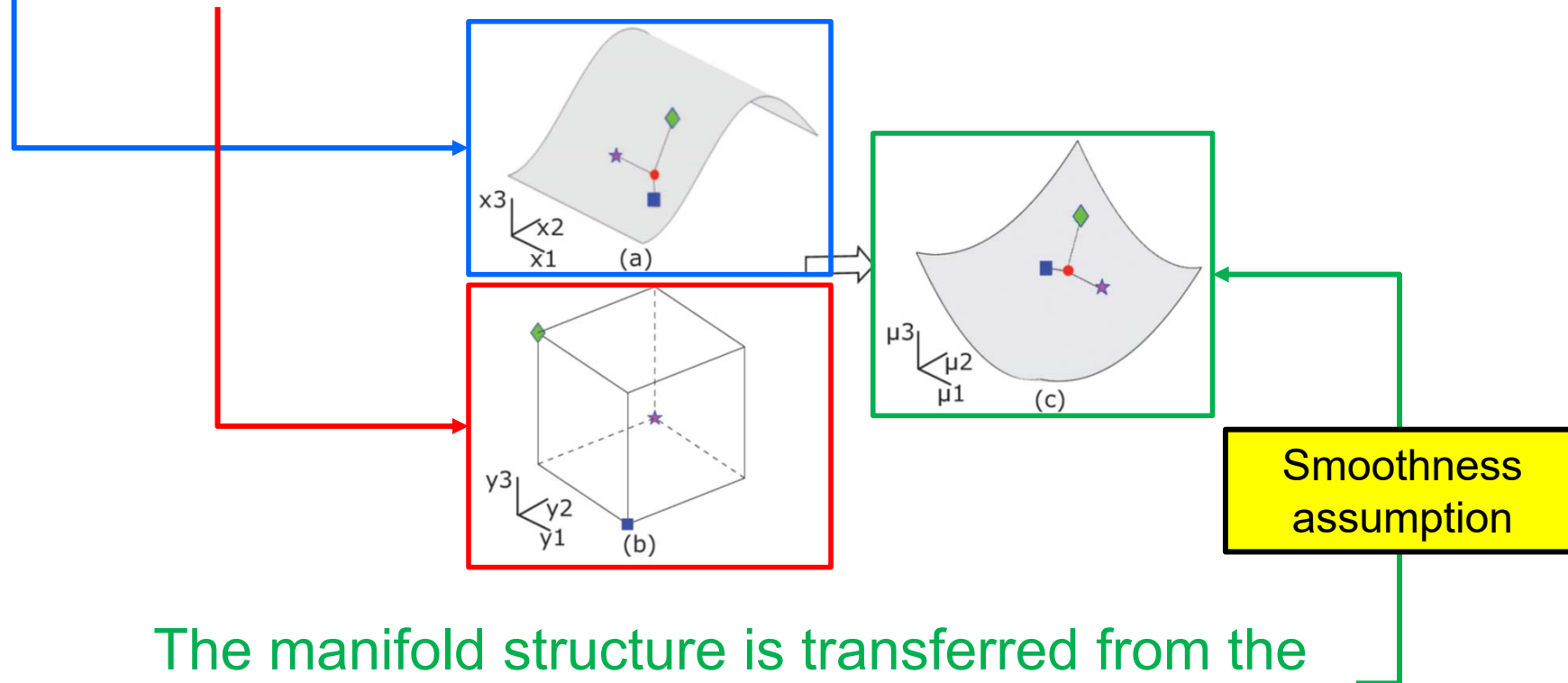
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LE based on manifold learning

[Hou, Geng and Zhang, AAAI'16]

- **Feature space**: continuous Euclidean space
- **Label space**: discrete logical space



The manifold structure is transferred from the feature space to the label space.

LE based on manifold learning

[Hou, Geng and Zhang, AAAI'16]

- Manifold learning in feature space [Roweis & Saul, Science, 2000]

$$\arg \min_{\mathbf{W}} \sum_{i=1}^n \left\| \mathbf{x}_i - \sum_{j \neq i} W_i^j \mathbf{x}_j \right\|^2$$

$$\text{s.t.} \quad \mathbf{1}^T \mathbf{W}_i = 1$$

Local
topological
structure

- Manifold learning
in label space

$$\arg \min_{\boldsymbol{\mu}} \sum_{i=1}^n \left\| \boldsymbol{\mu}_i - \sum_{j \neq i} W_i^j \boldsymbol{\mu}_j \right\|^2$$

$$\text{s.t.} \quad \forall 1 \leq i \leq n, 1 \leq l \leq q \quad y_i^l \mu_i^l \geq \lambda, \lambda > 0$$

Graph Laplacian Label Enhancement

[Xu and Geng, IJCAI'18]

- Model

Nonlinear transformation

$$\mathbf{D}_i = \mathbf{W}^\top \varphi(\mathbf{x}_i) + \mathbf{b} = \widehat{\mathbf{W}} \boldsymbol{\phi}_i$$

Goal Determining the best parameter $\widehat{\mathbf{W}}^*$

- Target function

Logical label loss

$$\min_{\widehat{\mathbf{W}}} L(\widehat{\mathbf{W}}) + \lambda \Omega(\widehat{\mathbf{W}})$$

Feature space constraint



Graph Laplacian Label Enhancement

[Xu and Geng, IJCAI'18]

- The first part of the target function

$$L(\widehat{W}) = \sum_{i=1}^n \|\widehat{W}\phi_i - L_i\|^2$$

Least squares (LS)

- The second part of the target function

Smoothness assumption

$$\Omega(\widehat{W}) = \sum_{i,j} a_{ij} \|\mathbf{D}_i - \mathbf{D}_j\|^2$$

$$= \text{tr}(\mathbf{D}\mathbf{G}\mathbf{D}^\top)$$

$a_{ij} = \begin{cases} \exp\left(-\frac{\|\mathbf{x}_i - \mathbf{x}_j\|^2}{2\sigma^2}\right) & \text{if } \mathbf{x}_j \in N(i) \\ 0 & \text{otherwise} \end{cases}$

Graph Laplacian

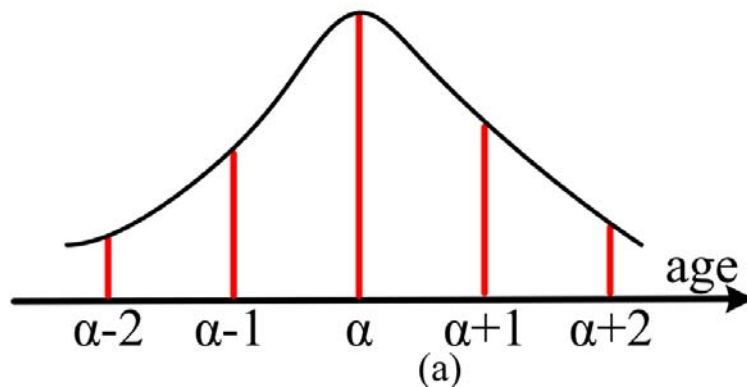
Correlation between \mathbf{x}_i and \mathbf{x}_j

$$\mathbf{G} = \widehat{\mathbf{A}} - \mathbf{A}, \hat{a}_{ij} = \sum_{j=1}^n a_{ij}$$

LE based on reinforcement learning

[Gao and Geng, IJCAI'20]

- Leveraging the prior knowledge



Prior knowledge in Age Estimation
[Geng, et al. TPAMI, 2013]



Prior knowledge in Emotion Relation
[Mikels, et al. BRM, 2005]

The properties implied in the prior knowledge

$$1. d_x^\alpha > d_x^\beta, \alpha \neq \beta$$

$$2. d_x^{\alpha \pm i} > d_x^{\alpha \pm j}, j > i, i, j > 0$$

$$1. d_x^{y_g} > d_x^{y_i}, i \neq g$$

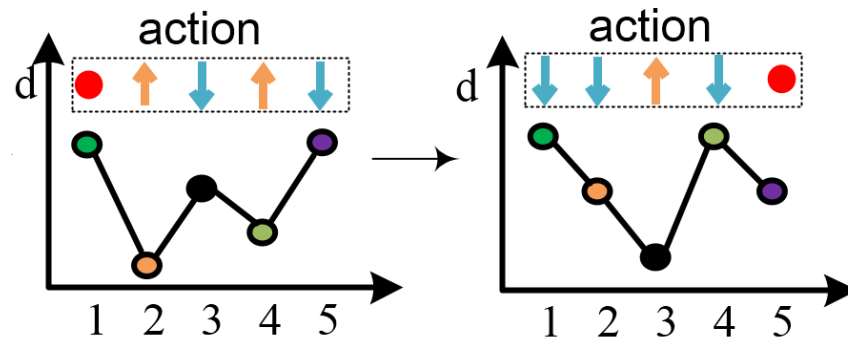
ground-truth hard label

$$2. d_i^{y_i} > d_i^{y_j}, \text{distance}(j, g) > \text{distance}(i, g)$$

LE based on reinforcement learning

[Gao and Geng, IJCAI'20]

- Reinforcement learning for LE

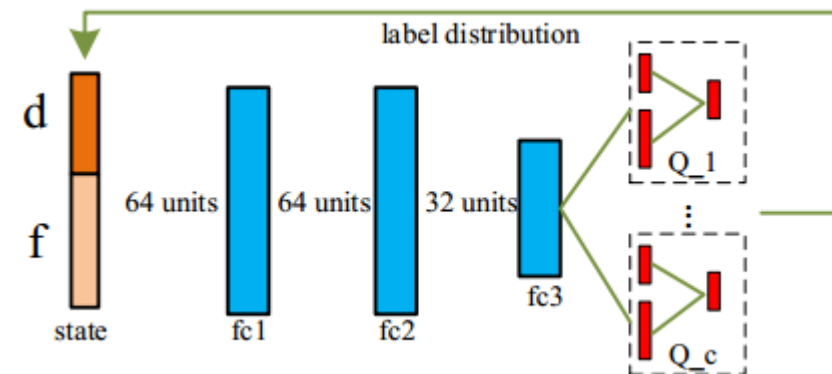


a constant value

$$d_x^{y_i} = d_x^{y_i} + \delta, \quad d_x^{y_i} = d_x^{y_i} - \delta, \quad d_x^{y_i} = d_x^{y_i}$$

$$d_x^{y_i} = \frac{e^{d_x^{y_i}}}{\sum_c e^{d_x^{y_i}}}$$

the sum of description degrees is 1.



$$MQ(s, a) = \sum_i^c Q_i(s, a; \theta, \phi, \beta),$$

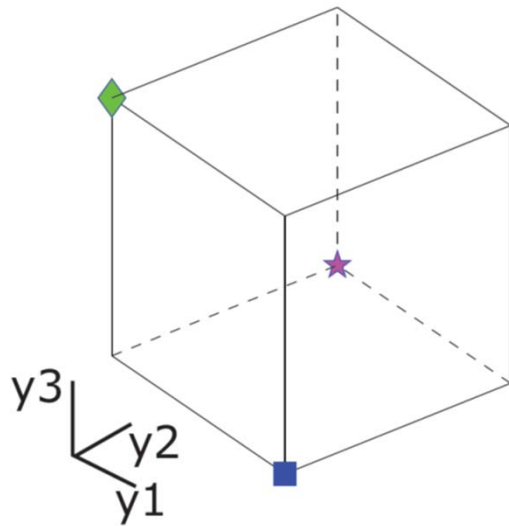
$$Q(s, a; \theta, \phi, \beta) = V(s; \theta, \beta) + (A(s, a; \theta, \phi) - \frac{1}{|A|} \sum_{a'} A(s, a'; \theta, \phi)),$$

Outline

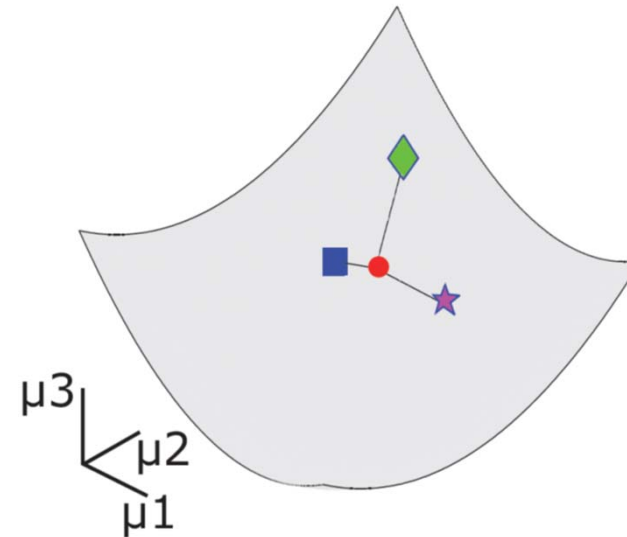
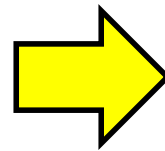
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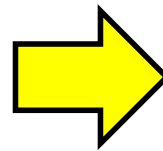
The Power of Label Space



0/1 Label Space



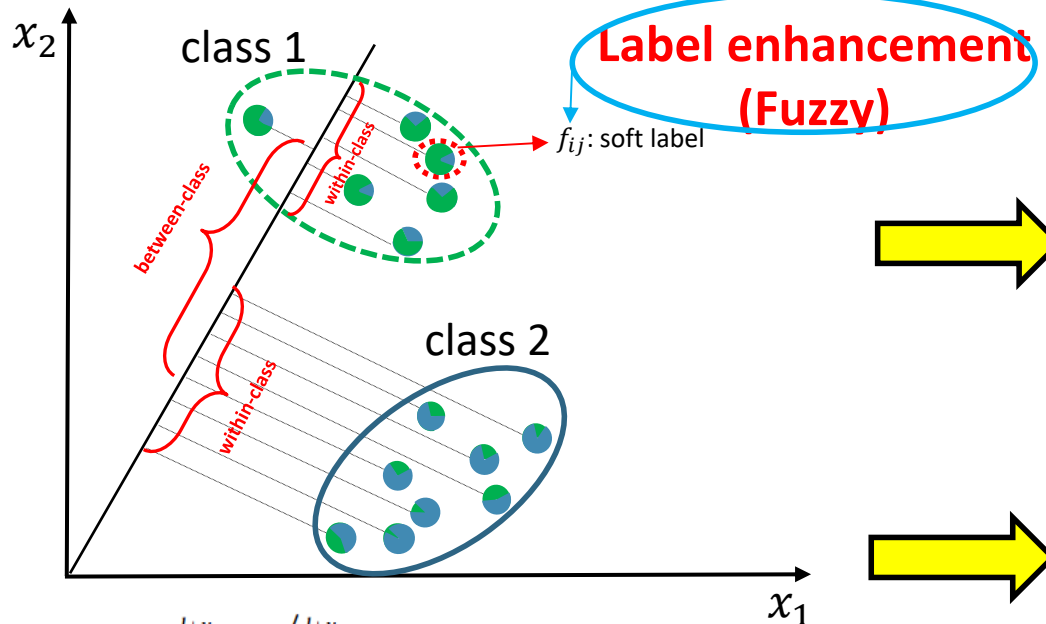
Fine Label Space



Linear Discriminant Analysis

[Zhao et al., CVIU'14]

- Soft Label Linear Discriminant Analysis (SL-LDA)



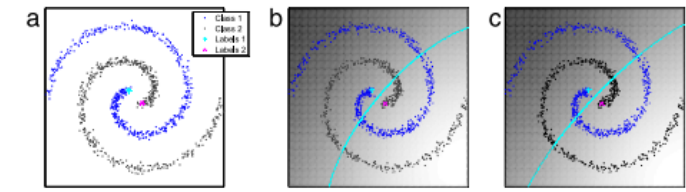
Label enhancement (Fuzzy)

f_{ij} : soft label

$$\tilde{\mu}_i = \frac{\sum_{j=1}^{l+u} f_{ij} x_j}{\sum_{j=1}^{l+u} f_{ij}} \rightarrow f_{ij}: \text{soft label}$$

$$\tilde{\mu} = \frac{\sum_{i=1}^c \sum_{j=1}^{l+u} f_{ij} x_j}{\sum_{i=1}^c \sum_{j=1}^{l+u} f_{ij}}$$

$$J(V) = \max_V \text{Tr}((V^T S_w V)^{-1} V S_b V)$$



Dimensionality Reduction

[Zhao et al., Neural Networks, 2014]



Image Retrieval

[Sovann et al., Wireless Communications Networking Conference, 2015]

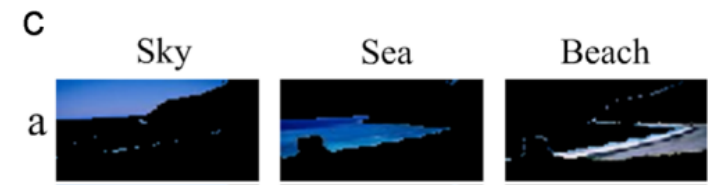
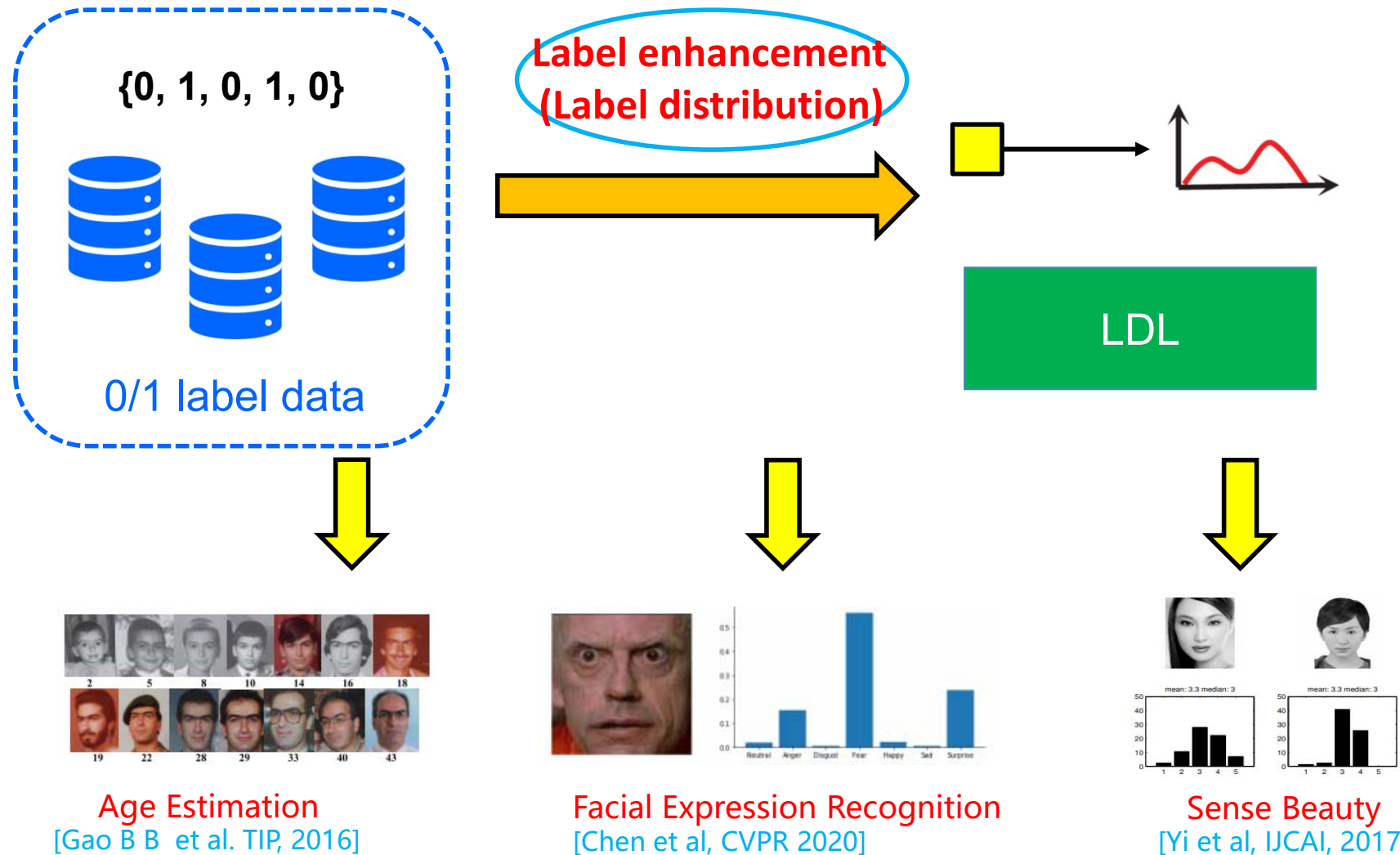


Image Classification

[Zhao et al., Neurocomputing, 2015]

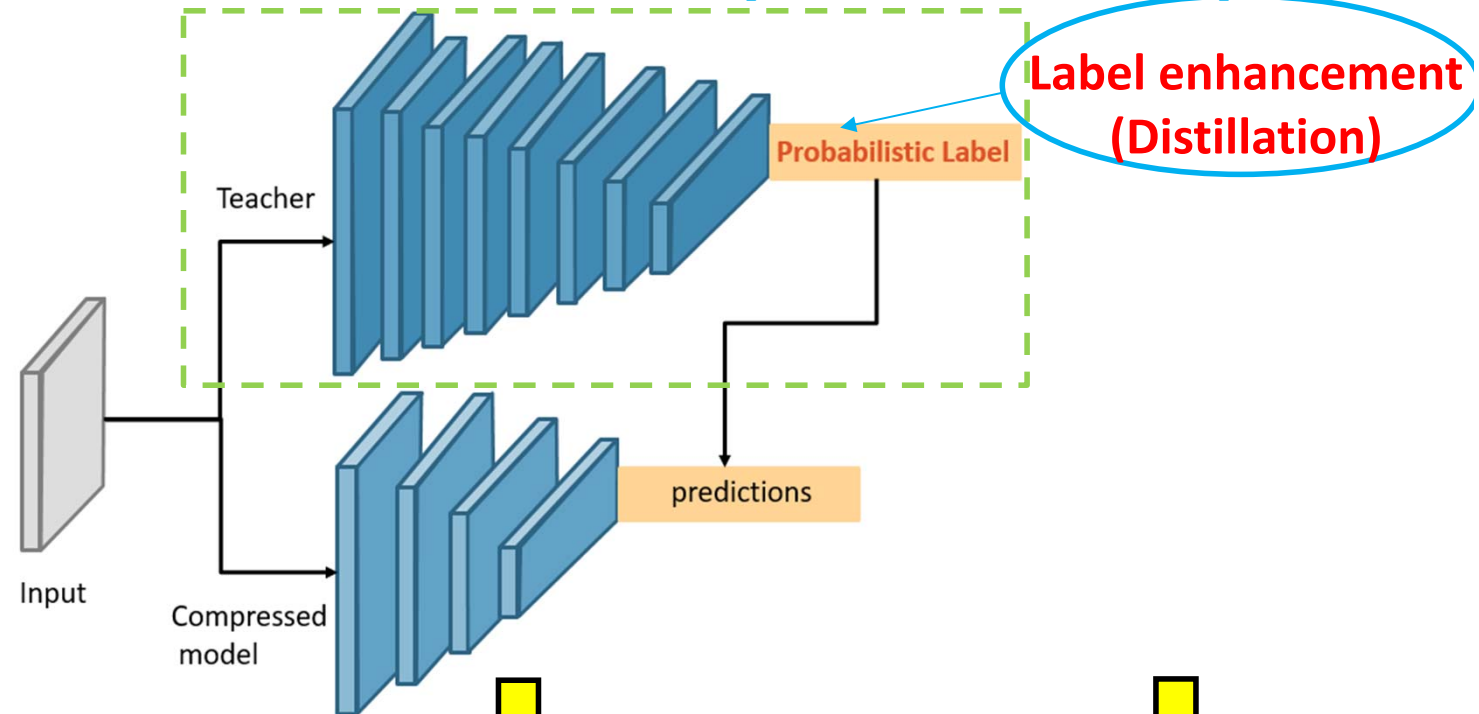
Label Distribution Learning

[Xu and Geng, IJCAI'18]

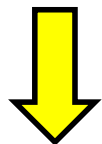


Model Compression

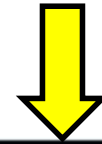
[Hinton et al., arxiv'15]



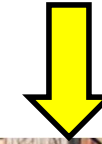
Label enhancement (Distillation)



Semantic image segmentation
[Chen et al. ECCV, 2018]



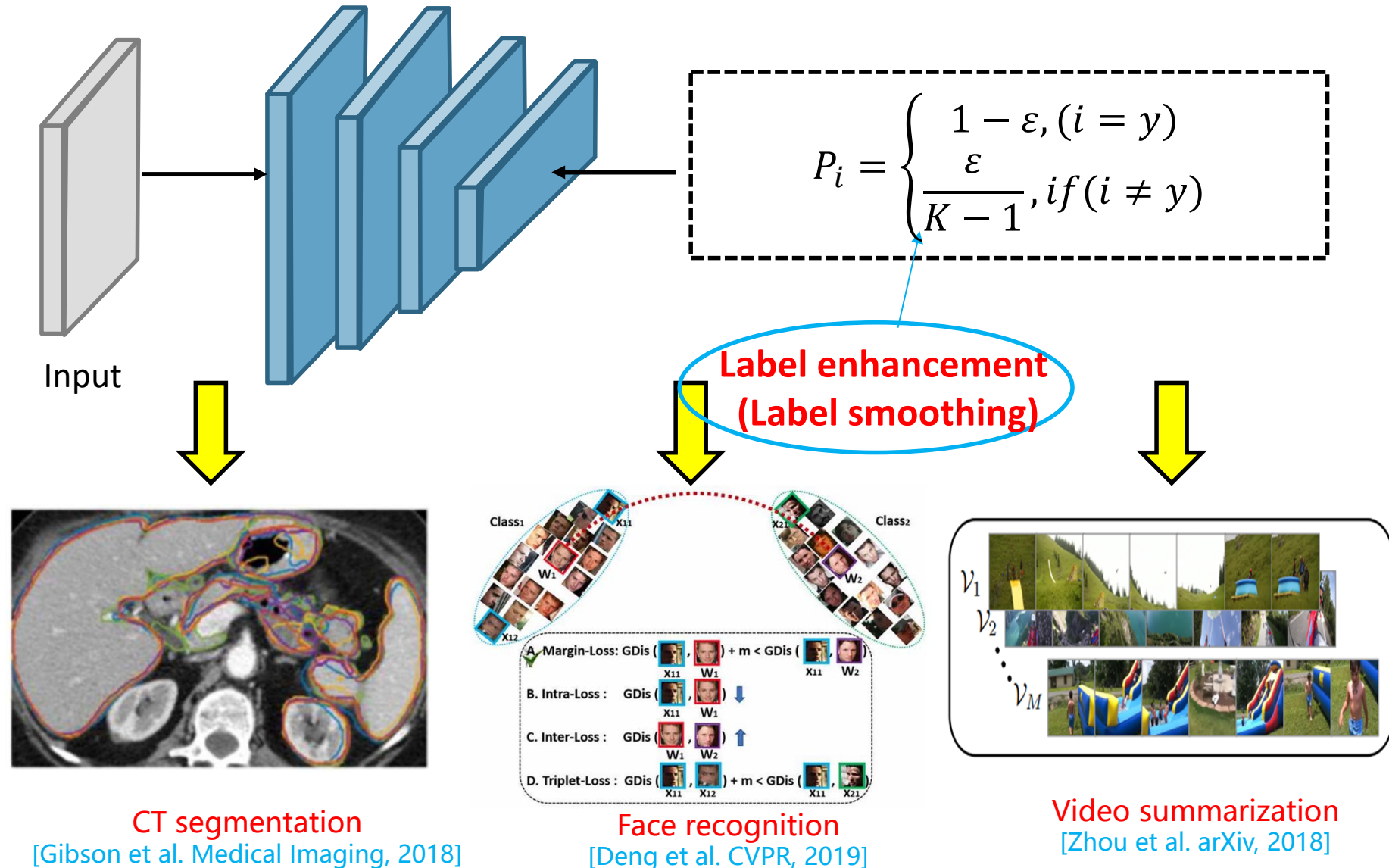
Speech Recognition
[Chebotar et al. Interspeech, 2016]



Mobile vision applications
[Howard et al. arXiv, 2017]

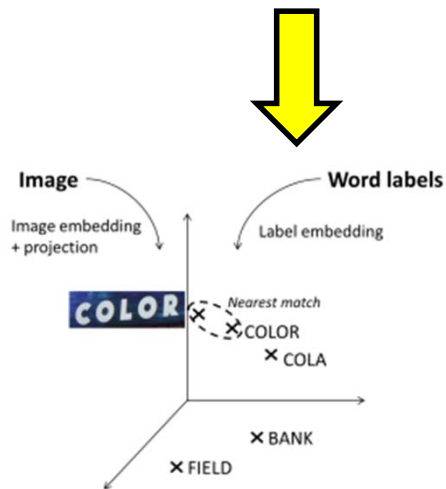
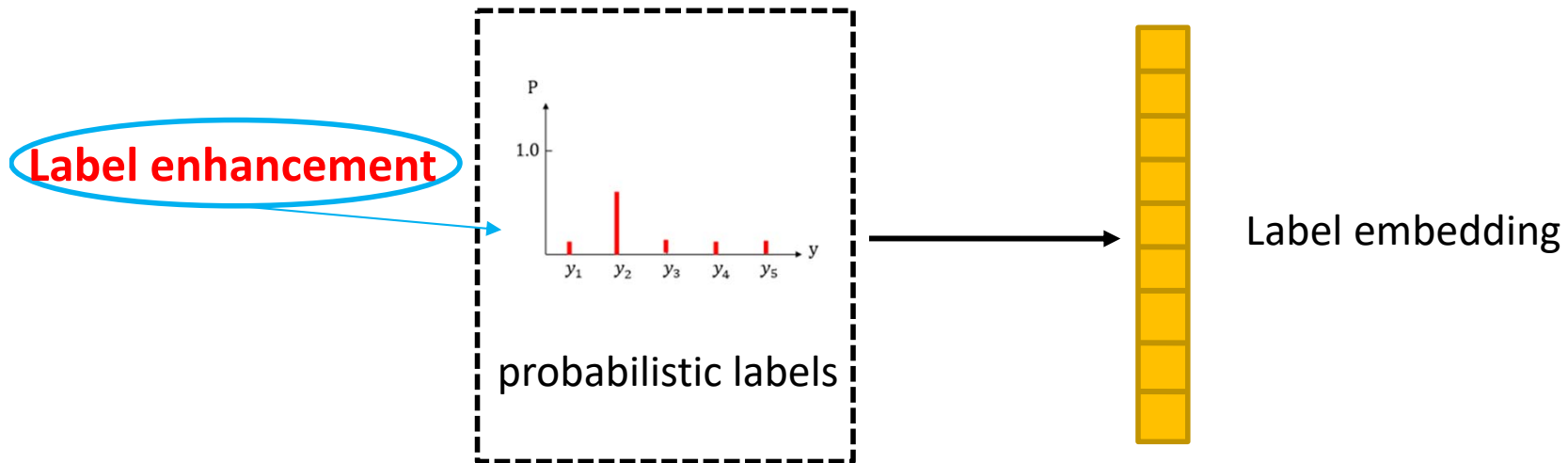
Neural network regularization

[Hinton et al., ICLR'17]



Label embedding

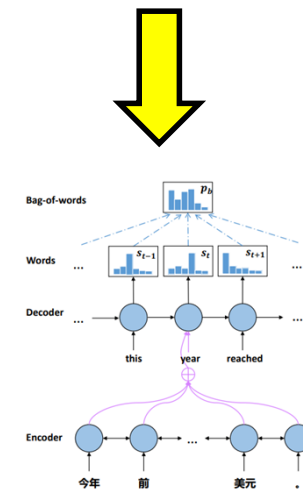
[Sun et al., arxiv'17]



Text recognition
Jose et al. BMVC, 2018.

- Generating descriptions for **videos** has many applications including **human robot interaction**.
- Many methods for **image captioning** rely on pre-trained **object classifier CNN** and Long Short Term Memory recurrent networks.
- How to learn **robust visual classifiers** from the weak annotations of the sentence descriptions.

Sequence generation
Yang et al. arXiv, 2018.



Machine translation
Ma et al. arXiv, 2018.

Outline

- **Introduction**
- **A Theoretical View**
- **Label Enhancement Methods**
 - **Fuzzy Label**
 - **Probabilistic Label**
 - **Label Distribution**
- **Applications**
- **Conclusion**





Conclusion

- **Label enhancement**
 - recovers fine labels (e.g., label distribution, probabilistic labels, fuzzy labels) from 0/1 labels.
 - could be theoretically explained via variational inference.
 - offers more possibilities for operations in the label space (e.g., linear discriminant analysis, label distribution learning, model compression, neural network regularization, label embedding).

Interested in LDL & LE?

All the **papers**, **codes** and **datasets** are available at:
<http://palm.seu.edu.cn/xgeng/LDL/index.htm>

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Label Distribution Learning

For real applications where the overall distribution of the importance of the labels matters.
A more general learning framework which includes both single-label and multi-label learning as its special cases.

Introduction

Label Distribution Learning is a novel machine learning paradigm. A label distribution covers a certain number of labels, representing the degree to which each label describes the instance. LDL is a general learning framework which includes both single-label and multi-label learning as its special cases.

Further details about LDL can be found in the following paper:

X. Geng, Label Distribution Learning. *IEEE Transactions on Knowledge and Data Engineering (IEEE TKDE)*, 2016, in press.

Our algorithms can be used freely for academic, non-profit purposes. If you intend to use it for commercial development, please contact us.

In academic papers using our codes and data, the following references will be appreciated:

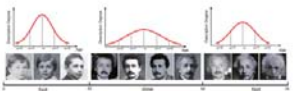
[1] X. Geng, Label Distribution Learning. *IEEE Transactions on Knowledge and Data Engineering (IEEE TKDE)*, 2016, in press.

[2] X. Geng, C. Yin, and Z.-H. Zhou. Facial Age Estimation by Learning from Label Distributions. *IEEE Transactions on Pattern Analysis and Machine Intelligence (IEEE TPAMI)*, 2013, 35(10): 2401-2412.

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Applications of LDL

Facial Age Estimation



- X. Geng, Q. Wang, and Y. Xia. Facial Age Estimation by Adaptive Label Distribution Learning. In: *Proceedings of the 22nd International Conference on Pattern Recognition (ICPR'14)*, Stockholm, Sweden, 2014, pp. 4465 - 4470.
- X. Geng, C. Yin, and Z.-H. Zhou. Facial Age Estimation by Learning from Label Distributions. *IEEE Transactions on Pattern Analysis and Machine Intelligence (IEEE TPAMI)*, 2013, 35(10): 2401-2412.
- X. Geng, K. Smith-Miles, Z.-H. Zhou. Facial Age Estimation by Learning from Label Distributions. In: *Proceedings of the 24th AAAI Conference on Artificial Intelligence (AAAI'10)*, Atlanta, GA, 2010, pp. 451-456.



THANK YOU!



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